Agenda Item No:	7	
Report To:	Selection & Constitutional Review Committee	
Date:	9 October 2014	
Report Title:	Scheme of Delegations - Changes to the Constitution	
Report Author:	Monitoring Officer	
Summary:	The report recommends additional delegations to officers in order to address new legislation and increase efficiency. It also recommends an appointment in connection with neighbourhood planning referendums.	
Key Decision:	No	
Affected Wards:	N/a	
Recommendations:	The Committee is asked to recommend to Full Council that:-	
	1. The changes to the Constitution set out in the appendix to this report be made	
	2. The Chief Executive be appointed as the Proper Officer for the purposes of the Neighbourhood Planning (Referendums) Regulations 2012	
Policy Overview:	There is a duty to review the Constitution and keep it up to date	
Financial Implications:	None	
Risk Assessment	No	
Equalities Impact Assessment	No	
Other Material Implications:	None	
Exemption Clauses:	None	
Background Papers:	None	
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Report Title: Changes to the Constitution

Background

- 1. Amongst other things, the purpose of the Council's Constitution is to enable decisions to be taken efficiently and effectively and to ensure that those responsible for decision making are clearly identifiable to local people. The Constitution also sets the legal framework within which the Council operates.
- 2. As part of the Monitoring Officer's role in ensuring the Constitution serves its various purposes, a selective review of the Constitution has been undertaken. The Monitoring Officer's conclusions are that:
 - There is scope for further delegations to officers in some areas
 - Some provisions can be simplified and clarified
 - Corrections are needed in places, particularly in order to reflect legislative changes

Recommended Changes

- 3. Attached to this report is an appendix showing in track changes the suggested amendments together with footnotes explaining the changes.
- 4. In summary, the further delegations primarily relate to planning functions and include:
 - Allowing the Head of Planning and Development to designate neighbourhood planning areas
 - Authorising the Head of Planning and Development to undertake various environmental assessments in relation to the preparation of local development documents
 - Permitting the Development Control Manager and Strategic Sites and Design Manager to determine applications for reserved matters for large schemes (e.g. 10 or more dwellinghouses), unless at least 6 members of Planning Committee ask for referral
 - Extending the power for the Development Control Manager and Strategic Sites and Design Manager to enter into planning obligations
- 5. Simplifications and clarifications of existing arrangements include:
 - Confirming that the Cabinet may amend the Constitution by delegating executive functions
 - Removing the limitation on the power of the Head of Legal and Democratic Services to issue notices

Neighbourhood Planning Referendums

- 6. One of the recent changes of legislation is the introduction of neighbourhood planning. This necessitates changes to the scheme of delegation, as highlighted above. In addition, part of the neighbourhood planning process is for referendums to be held in order to vote on neighbourhood plans and neighbourhood development orders.
- 7. In order to prepare for such votes, it is recommended that the Chief Executive be appointed the proper officer under the Neighbourhood Planning (Referendums) Regulations 2012. This would result in an addition to the list of proper officers in Appendix 5 of Part 3 to the Constitution.

Conclusions

8. I recommend that the Constitution is updated as set out in the appendix. This will ensure that the Council's arrangements continue to be efficient and transparent. I also recommend that the Chief Executive be appointed proper officer so that the Council is able to conduct any referendums.

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Changes to Part 2 – Articles of the Constitution

Article 4 – Full Council

4.01 Meanings

(a) **Policy Framework.** The policy framework means the following plans and strategies:-

- Best Value Performance Plan;
- Community Strategy;
- Crime and Disorder Reduction Strategy;
- Local Transport Plan;

• Plans and strategies and alterations thereto which are together comprise the Local Development Documents and alterations thereto Framework.¹

The Council can adopt additional plans to form part of the policy framework.

Article 15 – Review and Revision of the Constitution

15.02 Changes to the Constitution

The Cabinet (Executive) may amend the Constitution by delegating functions which are vested in it. When doing so, the Cabinet (Executive) may make consequential amendments.²

The Council may amend the Constitution after consideration of a proposal by the Cabinet (Executive), the Overview and Scrutiny Committee, the Selection and Constitutional Review Committee or the Monitoring Officer.³

The Monitoring Officer may amend the Constitution in order to update any references to amended or superseded legislation and to make minor amendments or corrections (of a clerical, grammatical or editorial nature only).⁴

(a) **Approval.** Changes to the Constitution will only be approved by the Full Council after consideration of a proposal by the Executive, Overview and Scrutiny Committee or the Selection and Constitutional Review Committee or the Monitoring Officer. ⁵

¹ LDF no longer a recognised expression

² To clarify how the constitution can be changed

³ Existing wording

⁴ Additional power

⁵ Existing wording replaced with the above

Changes to Appendix 2 of Part 3 – Responsibility for Functions (Functions and Powers of the Leader, etc)

Planning and Development – Portfolio Holder

The Cabinet Member having:-

(a) Overall responsibility for decision-making arrangements in the following areas:

- Planning and Development
- Local Development FrameworkDocuments; ⁶
- Planning Policy review and update in conjunction with the Leader;
- Communications and publicity regarding the Portfolio;
- Ashford's future growth in terms of the planning perspective.

⁶ LDF no longer a recognised expression

Changes to Appendix 3 of Part 3 – Responsibility for Functions (Functions Not to be the Responsibility of the Cabinet (Executive))

Functions Not to be the Responsibility of the Executive

This table is for information only and contains the functions set out in tThe Local Authorities (Functions and Responsibilities) (England) Regulations 2000 (No. 2853) (as amended)*as at 4 April 2014.⁷

(1)		(2)
Funct A.	Functions relating to town and country planning and development control	Provision of Act or Statutory Instrument
25.	Power to determine applications for conservation area consent. ⁸	Section 16(1) of, the Planning (Listed Buildings and Conservation Areas) Act 1990 (c. 9), as applied by Section 74(3) and (4) of that Act.
26.	Duties relating to applications for listed building consent-and conservation area consent. ⁹	Sections 13(1) and 14(1) and (4) of the Planning (Listed Buildings and Conservation Areas) Act 1990 (c. 9) and regulations 3 to 6 and 13 of the Planning (Listed Buildings and Conservation Areas) Regulations 1990 (S.I. 1990/1519) and paragraphs 8, 15 and 26 of Department of the Environment, Transport and the Regions Circular 01/01.
В.	Licensing and registration functions (in so far as not covered by any other paragraph of this Schedule)	
14A.	Function relating to Licensing. <u>Any</u> function of a licensing authority ¹⁰	Sections 5 to 8 of the Licensing Act 2003 (c. 17) and any regulations or orders made under that Act.
<u>14AZ</u>	A Powers and functions relating to late night levy requirements. ¹¹	<u>Chapter 2 of Part 2 of the Police Reform and</u> <u>Social Responsibility Act 2011 (c. 13) and any</u> regulations made under that Chapter.

⁷ Clarifies status of table

⁸ Repealed in 2013

⁹ Repealed in 2013

¹⁰ Amended in 2013

¹¹ Inserted in 2013

Planning Committee

Terms of Reference

To carry out the following functions consider and advise the Council upon:-

1. Planning and Conservation functions relating to <u>The</u> Town and Country Planning and Development Control <u>functions as</u> specified in Schedule 1 to the Local Authorities (Functions and Responsibilities) (England) Regulations 2000 <u>from time to time</u> and the Local Authorities (Functions and Responsibilities) (England) (Amendments) Regulations 2001 as detailed in Section A of Appendix 3 to Part 3 of the Council's Constitution.¹²

¹² Clarifies functions

General Advice and Guidance on the Interpretation of Delegations and the Procedures to be Followed by Officers Exercising Delegated Powers

'General Advice'

2.15 Functions, matters, powers, authorisations, delegations, duties and responsibilities, etc within this Scheme of Delegation, shall be construed in a broad and inclusive fashion and shall include the doing of anything which is calculated to facilitate or is conducive or incidental to the discharge of anything specified. <u>They</u> shall also include the ability to amend, replace, waive or relax any requirement, withdraw, etc any notice, order, etc where such an ability is available.¹³

2.18 If a matter is delegated to an Officer, but that delegation cannot be implemented, the facts should be reported to the delegating body. If an Officer is not available or is unwilling to deal with a matter, the matter may be referred instead to the Head of Service, Chief Executive or delegating body.¹⁴

General Delegations

3.7 The Chief Executive, Deputy Chief Executive, and Heads of Service are authorised to serve notices to obtain information as to <u>activities on land pursuant to</u> <u>Section 171C and</u>¹⁵ interests in land pursuant to Section 330 of the Town and Country Planning Act 1990.

¹³ To include power to withdraw enforcement notices under section 173A

¹⁴ Expressly allows officers to refer a matter up to Cabinet or the relevant Committee if they wish

¹⁵ Not currently delegated

Head of Legal and Democratic Services and Monitoring Officer

Executive Functions

6.1 To take proceedings to obtain orders or injunctions under Section 222 of the Local Government Act 1972 or the Crime and Disorder Act 1998 or any other legislation which empowers the Council to seek such orders or injunctions in the interests of the inhabitants of the area or where otherwise expedient to do so.¹⁶

Non-Executive Functions

6.14 To:

(a) Issue and serve all notices and make all ordersan Enforcement Notice pursuant to the powers contained in Section 172 of the Town and Country Planning Act 1990.

(b) Issue and serve a Stop Notice pursuant to the powers contained in Section 183 of the Town and Country Planning Act 1990.

(c) Issue and serve a Listed Building Enforcement Notice pursuant to the powers contained in Section 38 of the Planning (Listed Buildings and Conservation Areas) Act 1990.

 (d) Issue and Serve a Building Preservation Notice pursuant to the powers contained in Section 3 of the Planning (Listed Buildings and Conservation Areas) Act 1990.
(e) Issue proceedings for injunctions under any powers contained in the Town and Country Planning Act 1990 (as amended) including in respect of actual or apprehended breaches of planning control, listed building control, hazardous substances control or relating to trees.

(f<u>b</u>) Make and serve an Article 4 Direction pursuant to the powers contained in the Town and Country Planning (General Permitted Development) Order 1995. (g) Serve Hedgerow Retention Notices in response to the service upon the Council of a Hedgerow Removal Notice and in circumstances where a hedgerow is removed in contravention of the Hedgerow Regulations 1997 to serve, on the request of the Development Control Manager/Strategic Sites and Design Manager a Hedgerow Replanting Notice.¹⁸

6.31 To take all such action and to direct other staff to take such action to ensure full compliance with the Local Government (Access to Information) Act 1985, The Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 200012¹⁹ and The Local Authorities (Access to Meetings and Documents) (Period of Notice) (England) Order 2002 and the Local Government (Access to Information) Variation Order 2006.

¹⁶ Extend scope

¹⁷ Simplifies and extends scope (e.g. planning enforcement orders and action in relation to NSIPs)

¹⁸ Now covered by (a)

¹⁹ 2000 regs revoked by 2012 regs

6.32 To maintain the deposited list of politically restricted posts in accordance with Section 2 of the Local Government and Housing Act 1989 after consultation with the Chief Executive and to issue certificates for the purposes of Sections 2 and 3 of the Local Government and Housing Act 1989.²⁰

²⁰ Correction, as certificates aren't issued

Health, Parking and Community Safety Manager and Assistant Managers

11.3 In accordance, where appropriate, with Council policy to serve or issue any statutory notice or direction and to take subsequent steps to achieve the purpose of the notice, issue any caution, determine applications for and grant, exercise the powers conferred by, make arrangements for, instruct or appoint appropriate persons, take any action considered necessary, detain, examine or seize, institute proceedings, enter premises, enforce the provisions of, act as an inspector for, examine and test, register and maintain appropriate registers, vary licence conditions, recover the cost for action taken or award grants, discharge the functions and duties imposed on the Council, under any statute or regulation in respect of the following:-

(c) food safety and food information. ²¹

²¹ To include the new Food Information Regulations 2014

Head of Community and Housing

14.16 To <u>exercise all functions of the Council as landlord of commercial propertylet</u> individual units and sites at the Ellingham Industrial Estate, including the negotiation of any rent payable therefore (whether on review or otherwise).²²

<u>14.29 To exercise all functions of the Council under the Redress Schemes for</u> <u>Lettings Agency Work and Property Management Work (Requirement to Belong to a</u> <u>Scheme etc) (England) Order 2014</u>²³

²² In order to include other properties, e.g. International House, and broaden the scope in order to include all functions the Council as landlord might wish to carry out

²³ New legislation

Head of Planning and Development [new]

Executive Functions

1. To exercise all functions of the Council in relation to neighbourhood planning under the Town and Country Planning Act 1990 (as amended), the Planning and Compulsory Purchase Act 2004 (as amended) and any regulations made under either, save for (i) deciding whether or not to make a development order, which shall be a decision of the Cabinet (Executive); (ii) deciding whether or not to make a development plan following a referendum, which shall be a decision of the Full Council and (iii) matters related to referendums where they are the responsibility of the Proper Officer.²⁴

2. To exercise all functions of the Council under the Conservation of Habitats and Species Regulations 2010 (as amended), the Environmental Assessment of Plans and Programmes Regulations 2004 (as amended) and section 19(5) of the Planning and Compulsory Purchase Act 2004 (as amended).²⁵

²⁴ Any delegated decision could be referred to Cabinet instead if that was felt to be appropriate. A neighbourhood plan would be a local development document, so making it is a matter for Full Council under article 4 of the constitution. Making a local development order is a matter for Cabinet, so it is recommended that the decision to make a neighbourhood development order be a matter for Cabinet as well.

²⁵ To cover appropriate assessments under the Habitats and Wild Birds Directives; environmental reports under the SEA Directive and sustainability appraisals under the 2004 Act

Development Control Manager/Strategic Sites and Design Manager

15.2 The determination of all applications and/or notifications (including applications for non material amendments and the discharge of conditions) made under the Town and Country Planning Act 1990 (as amended), the <u>Planning (</u>Listed Buildings and Conservation Areas)²⁶ Act 1990 (as amended), the Planning and Compulsory Purchase Act 2004 (as amended) or any Orders or Regulations made thereunder with the exception of:-

- (a) <u>applications for planning permission for</u>^{27 28} the provision of dwellinghouses (other than by way of an application under section 73 of the Town and Country Planning Act 1990)²⁹ where:
 - (i) the number of dwellinghouses to be provided is 10 or more; or
 - the development is to be carried out on a site having an area of 0.5 hectare or more and it is not known whether the development falls within paragraph (a) (i).
- (b) <u>applications for planning permission for</u>²⁶ the provision of a building or buildings of 1000 sq metres floor space or more where the proposed use of that building or one of them is for retail or hotel purposes.
- (c) <u>applications for planning permission for</u>²⁶ the provision of a building or buildings of 1000 sq metres floor space or more for commercial / employment use where it is proposed to refuse the application
- (d) <u>applications for planning permission for</u>²⁶ the provision of a building or buildings of 1000 sq metres floor space or more where:
 - (i) the proposed use of that building or one of them is for any commercial / employment purpose other than retail or hotel,
 - (ii) it is proposed to approve the application and there are no objections, ³⁰ but

²⁶ Typo

²⁷ In order to extend the delegation – reserved matters applications for such development would only be referred to Planning Committee if at least 6 committee members asked or if the planning officer did not wish to exercise their delegation

²⁸ Prior approval notifications for conversion to residential must be determined within strict deadlines otherwise they are automatically approved. Such notifications would therefore only be referred to Planning Committee if the planning officer did not wish to exercise their delegation and the deadline would not be missed

²⁹ No longer necessary

³⁰ Members would be aware of any objections from reading the officer's report

- (iii) 6 or more of the Planning Committee Members have requested that the determination of the application should be elevated to the Planning Committee in accordance with the procedure in note (iv) below.
- (e) <u>applications for planning permission for</u>²⁶ the provision of a building or buildings where the floor space to be created by the development is 10,000 sq metres or more.
- (f) applications submitted by or on behalf of a Member of the Council or member of staff or his or her partner.
- (g) applications which, in the opinion of the Development Control Manager/Strategic Sites and Design Manager, are sensitive and should be determined by the Planning Committee.
- (h) applications submitted, promoted or sponsored by or on behalf of the Borough Council-be submitted to the Planning Committee and be not subject to the Scheme of Delegation³¹.
- (i) applications for reserved matters approval for the appearance, layout and scale of building(s) or dwellings referred to in paragraphs (a) to (e) where 6 or more of the Planning Committee Members have requested that the determination of the application should be elevated to the Planning Committee in accordance with the procedure in note (iv) below³²

The exceptions listed above shall not include applications for non material amendments to permissions or consents and all applications to discharge conditions imposed on any permission or consent (see paragraph 15.2A below).

15.2A To determine all applications for non material amendments to permissions or consents and all applications to discharge conditions imposed on any permission or consent made under the Town and Country Planning Act 1990 (as amended), the <u>Planning (</u>Listed Buildings and Conservation Areas)³³ Act 1990 (as amended), the Planning and Compulsory Purchase Act 2004 or any Orders or Regulations made thereunder.

³¹ Not necessary, as this is a list of exemptions from delegation

³² The same procedure as currently used for large commercial/employment buildings (paragraph d)

³³ Туро

15.2B To make minor amendments or corrections (of a clerical, grammatical or editorial nature only) to the wording of conditions, obligations, reasons, informatives, etc-in order to give effect to the decisions of the Planning Committee.³⁴

15.9 To exercise all functions of the Local Planning Authority:

- (a) under the Town and Country Planning (Environmental Impact Assessment) (England and Wales) Regulations 2011 (as amended).
- (b) under the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 (as amended)³⁵
- (c) under the Hedgerow Regulations 1997 (as amended) ³⁶
- (d) under Part VII of the Town and Country Planning Act 1990 (as amended) and Chapter IV of the Planning (Listed Buildings and Conservation Areas) Act 1990 (as amended). Save that the Portfolio Holder may request in writing that the determination in respect of a particular matter (other than under section 191 or 192) be elevated to the Planning Committee. In this event the delegation to the Development Control Manager/Strategic Sites and Design Manager to make the determination shall be suspended in relation to that matter, unless the Portfolio Holder revokes his request in writing.³⁷
- (e) under Part VIII of the Town and Country Planning Act 1990 (as amended) and regulations made thereunder, except for confirming tree preservation orders where objections have been received and save that the Portfolio Holder may request in writing that the determination in respect of a particular matter under Chapter II of Part VIII be elevated to the Planning Committee. In this event the delegation to the Development Control Manager/Strategic Sites and Design Manager to make the determination shall be suspended in relation to that matter, unless the Portfolio Holder revokes his request in writing.³⁸
- (f) under Part 8 of the Planning Act 2008 39
- (g) under Part 8 of the Anti-social Behaviour Act 2003 (as amended). Any cases considered to be exceptional be referred to the Planning Committee for decision.⁴⁰

³⁷ Currently at 15.13 (i) and (iii)

³⁴ Unnecessary restriction

³⁵ Currently at 15.11

³⁶ Currently covered in part by 15.15 and 15.16

³⁸ Currently covered in part by 15.13 (ii), 15.18, 15.19 and 15.20

³⁹ Enforcement in connection with NSIPs

⁴⁰ Currently at 15.21

- (h) under the Conservation of Habitats and Species Regulations 2010 (as amended) as they apply to planning permissions ⁴¹
- (i) in respect of heritage partnership agreements, listed building consent orders, repairs notices and the execution of urgent works and the recovery of those costs under the Planning (Listed Buildings and Conservation Areas) Act 1990 and any regulations made thereunder ⁴²
- (j) in connection with any appeals ⁴³

15.11 To exercise all functions of the Local Planning Authority under the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 (as amended).

15.1<u>1</u>2 To decline to determine applications <u>and to dispose of applications</u> <u>undetermined under all available powers in accordance with the powers in Section</u> 70A of the Town and Country Planning Act 1990 (as amended) and Section 43 of the Planning and Compulsory Purchase Act 2004 (as amended). ⁴⁴

15.13 To determine whether to take, or not to take:

(i) enforcement or other action under Part 7 of the Town and Country Planning Act 1990 (as amended);

(ii) action under Section 215 to 219 of the Town and Country Planning Act 1990 (as amended) and

(iii) action under Chapter 4 of the Planning (Listed Buildings and Conservation Areas) Act 1990 (as amended).

Save that the Portfolio Holder may request in writing that the determination in respect of a particular matter be elevated to the Planning Committee. In this event the delegation to the Development Control Manager/Strategic Sites and Design Manager to make the determination shall be suspended in relation to that matter, unless the Portfolio Holder revokes his request in writing.

15.142 To take all such emergency action as in his opinion may be required to deal with any dangerous tree.

15.15 To determine whether to serve a Hedgerow Retention Notice in response to the service upon the Council of a Hedgerow Removal Notice and in circumstances where a hedgerow is removed in contravention of the Hedgerow Regulations 1997

⁴¹ Not currently delegated

⁴² New legislation

⁴³ Not currently delegated

⁴⁴ Simplifies

(as amended) to determine whether a Hedgerow Replanting Notice should be served upon the landowner concerned.

15.16 To determine whether injunction or criminal proceedings should be taken against any landowner for contravention of the Hedgerow Regulations 1997 (as amended).

15.1<u>3</u>7 To respond to consultation or notifications by the Forestry Authority.

15.18 To determine all matters relating to Tree Preservation Orders, trees in Conservation Areas and other landscape matters both for approval or refusal with or without third party representations.

15.19 Make and serve a Tree Preservation Order pursuant to the powers contained in Sections 198 and 201 of the Town and Country Planning Act 1990 (as amended).

15.20 To confirm any Tree Preservation Order where no objections have been received.

15.21 To undertake all matters associated with the High Hedge Legislation (Part 8 of the Anti-Social Behaviour Act) (as amended). Any cases considered to be exceptional be referred to the Planning Committee for decision. (Minute No. 71/6/05 refers).

15.<u>1422</u> Subject to the Portfolio Holder not having requested in writing that the response be made by the Planning Committee, to respond on the Council's behalf to:

(i) Kent County Council on any consultation made under The Town and Country Planning (Development Management Procedure) (England) Order 2010 and directions made thereunder, and

(ii) All other consultations of a planning nature and including those relating to planning applications and listed building consent applications in neighbouring authorities and those arising under the Planning Act 2008 (as amended) (for example national policy statements and applications for development consent).

15.23 Power to decline to determine applications submitted under the Town and Country Planning Act 1990 (as amended) and the Planning (Listed Buildings and Conservation Areas) Act 1990 (as amended).

<u>15.15 To determine all applications for certificates of appropriate alternative</u> <u>development under the Land Compensation Act 1961.</u>⁴⁵

15.16 To enter into planning obligations as Local Planning Authority under section 106 of the Town and Country Planning Act 1990 and to agree variation/relaxation thereof and to determine applications for the discharge/modification of planning

⁴⁵ Not currently delegated

obligations.⁴⁶ To exercise all powers in connection with and under any planning obligations⁴⁷

<u>15.17 To serve notices to obtain information as to activities on land pursuant to</u> <u>Section 171C and interests in land pursuant to Section 330 of the Town and</u> <u>Country Planning Act 1990 and to obtain particulars of persons interested in land</u> <u>under Section 16 of the Local Government (Miscellaneous Provisions) Act 1976</u>⁴⁸

15.18 In the event the Planning Committee resolves to grant any application subject to a planning obligation and within 3 months of the resolution either no obligation is entered into or none which is acceptable to the Development Control Manager/ Strategic Sites and Design Manager (in consultation with the Head of Legal and Democratic Services), to refuse the application for lack of the obligation⁴⁹

⁴⁶ Duplicates Planning Committee power and decisions can still be referred to them instead if that was felt to be appropriate. Power can be used in particular in connection with appeals.

⁴⁷ Planning obligations often require the Council to do things, e.g. hand over contributions to parish councils. This wording allows grant agreements to be put in place when handing over such money.

⁴⁸ Currently only delegated to heads of service

⁴⁹ In order to ensure obligations are entered into in good time and that negotiations are not delayed

Proper and Authorised Officers and Designated Posts

C General Provisions

1. Save as specified in Parts A and B above the Chief Executive, Deputy Chief Executive, Heads of Service and Service Managers be appointed Proper Officers and Authorised Officers generally for the purposes of present and future enactments directly controlling or authorising the discharge of the functions of their respective services and directorates and each such Proper Officer or Authorised Officer be empowered to authorise suitably qualified staff to enter premises or land to take any action in accordance with the statutory functions associated with the duties and work of their respective services and directorates and to authorise staff for any other purposes.⁵⁰

⁵⁰ For example under the Prevention of Social Housing Fraud (Power to Require Information) (England) Regulations 2014